

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Margrave, et al.                      Art Unit : 1754  
Serial No. : 09/809,885                      Examiner : Stuart L. Hendrickson  
Filed : March 16, 2001                      Conf. No. : 7715  
Title : FUNCTIONALIZED SINGLE-WALL CARBON NANOTUBES

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. The fees are being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply all charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 21753-013003.

Date: 11/9/07

Fish & Richardson P.C.  
One Congress Plaza  
Suite 810  
111 Congress Avenue  
Austin, TX 78701  
Telephone: (512) 472-5070  
Facsimile: (512) 320-8935

Respectfully submitted,



Ross Spencer Garsson  
Reg. No. 38,150